

Electronic Acknowledgement Receipt

EFS ID:	4640873
Application Number:	10562615
International Application Number:	
Confirmation Number:	6138
Title of Invention:	Tricyclic derivatives or pharmaceutically acceptable salts thereof, their preparations and pharmaceutical compositions containing them
First Named Inventor/Applicant Name:	Myung-Hwa Kim
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Filer Authorized By:	Michael Nicholas Mercanti
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Application Type:	U.S. National Stage under 35 USC 371

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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
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1	Information Disclosure Statement Letter	IDS_Statement_428_1145.pdf	53205 c2aa61ccda6ae8ab519a61ec8da6d817b5c839a5	no	2
Warnings:					
Information:					
2	Information Disclosure Statement (IDS) Filed (SB/08)	IDS_Form_428_1145.pdf	171989 7f05b3173aa405b48609637397b1b4154a83c0a	no	4
Warnings:					
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3	NPL Documents	Scanned_SUN.pdf	541859 9adc1f654d84a339356f821b43ac76863bb9e18f	no	6
Warnings:					
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4	NPL Documents	Scanned_PLOURDE.pdf	5565125 1f90a9a970b75265a5377e68ac262fbb4587e424	no	10
Warnings:					
Information:					
5	NPL Documents	Scanned_ISR.pdf	709187 6edfb7cadacea14455c2e24d8c04e862d98b16070	no	2
Warnings:					
Information:					
6	Foreign Reference	WO_02100824.pdf	2712272 4816e4573fb5c6e5e8ed9911c32129a10f6c1005	no	75
Warnings:					
Information:					
7	Foreign Reference	WO_97001570.pdf	485727 6eb176b508205fc2293867938398048677a09133	no	14
Warnings:					
Information:					
8	Fee Worksheet (PTO-06)	fee-info.pdf	30057 ad155b3ffd994757dceb3431483811fc890ef7d	no	2
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Total Files Size (in bytes):			10269421		

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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.